



Minute takers: Kolja Brockmann, Alexandra Kuimova

Location: The Conference Room

Time: 14:00-15:00

SIPRI Armament and Disarmament Cluster Meeting

Solna, 11 June 2018

## **MINUTES**

### **Participants:**

Sibylle Bauer, Kolja Brockmann, Tarja Cronberg, Tytti Erästö, Aude Fleurant, John Hart, Alexandra Kuimova, Shannon Kyle, Giovanna Maletta, Anna Regner, Nan Tian, Siemon Wezeman, Pieter Wezeman.

### **Agenda:**

- Report back from SMT
- Report back from Programme Heads on recent and upcoming activities
- Update on ongoing and future funding proposals and decisions
- Stockholm Security Conference 2018, 19-20 September - updates
- AOB
- Date of next meeting

## **Report back from Programme Heads on recent and upcoming activities**

### **AMEX:**

- The AMEX team will meet with a small delegation from FOI on Wednesday (13 June).
- Aude was at a workshop in London on corruption in the arms trade. She reported it to have been extremely interesting, especially in highlighting the different perspectives on what corruption means for participants from different continents.
- The team is conducting the work that is to be completed before the Summer vacation, however there are also a number of work trips coming up in summer:
  - Nan will travel to Northern Cyprus to present two papers (one on military spending and debt and another on conflict) at a conference.
  - Alexandra will travel to Morocco for interviewing officials on Morocco's arms transfers and military expenditure.
  - Aude will be in Barcelona in July for a summer peace university
  - Siemon will give a presentation in Cebu during his vacation in July
- AMEX is still trying to work with Transparency International (TI) on transparency in military expenditure. Aude spoke to Andrew from TI at the London workshop and he explained that the delay is due to changes in the organization (higher-level people moved on, maternity leaves etc.). AMEX will keep in contact as they are still keen to work on the anti-corruption index.
- Nan reports that the Sub-Saharan Africa paper will be sent to Joey on the day of the cluster meeting.
- AMEX team will write the introduction for a special issue of the Economics, Peace & Security Journal and 7 other participants (of the Arms Industry Workshop held in March) will provide papers. Deadline 1st of July – publication will probably come out in October.
- The ICRC contacted Nan to set up a Skype meeting to discuss research opportunities after they had earlier read a paper the long-term health costs of civil conflict, that he provided to them after discussions facilitated by Jakob. A resulting project could be of cross-cluster relevance within SIPRI and should be flagged up with Gary once it becomes more concrete.
- Nan reports that a model of the website for the databases was presented to the AMEX team by the programmers. The quality of work seems to be very good. The programmers will share access to the backend the following week and then receive more detailed guidance from the AMEX team on the requirements of the databases. The programmers will come for a meeting in July to discuss the details in person.

- The Central University of Finance and Economics in Beijing, China invited Nan and Aude to come for 1-2 week as visiting researchers, which would be fully funded. Aude had to decline, but instead Nan and Siemon will be going to Beijing at the beginning of September for one week.

#### DUAT:

- Giovanna will be traveling to Brussels to present the paper she and Mark wrote on controls on transfers of software and technology at an industry compliance event on 12 June. The same week, she will attend the COARM-NGO meeting and on Thursday the NGO meeting on the review of the EU Common Position on arms exports, both also in Brussels.
- The same week, Mark will be at an industry workshop in Stockholm organized by C5 to present on the recast of the dual-use regulation and his recent paper on controls cyber surveillance technology. Mark will then travel to Brussels and also attend the COARM-NGO meeting.
- Kolja will be attending the second day of the workshop organized by C5 in Stockholm. – The C5 workshops are on company compliance both on export controls and sanctions – specifically relevant in the case of Iran.
- The following week, Giovanna and Sibylle will travel to Brussels again to provide a briefing to the European Parliament on the EU Common Position on arms export on 19 June and Giovanna will join a meeting of the EU CBRN Centres of Excellence for a session on dual-use export controls the next day.
- The DUAT team has received funding from the UK FCO to rebuild the website hosting the ATT assistance activities.
- Kolja wrote a paper on the challenges emerging technologies pose to export controls which has been peer-reviewed and accepted for publication in the *Strategic Trade Review*.
- Kolja will present on technology transfers and additive manufacturing at a company compliance workshop in Germany in September.

#### Proliferation and Arms Control:

##### Shannon:

- Nuclear forces data will be released Monday, 18 June.
- Shannon has been approached from the Iranian side to organize a dialogue-meeting in Stockholm.

##### Tarja:

- Tarja participated in a meeting at the ECFR on saving the Iran Deal with a strong focus on the economic aspects. The conclusion was that Europe has no economic autonomy and the US secondary sanctions would be applied to EU firms and even the clients of those firms and

SWIFT. Only waivers for large companies, or SMEs that do not work with US banks, could maintain trade. The Iranians feel that this will not work out and ensure the economic gains that they expected under the deal, therefore there is a high risk that the Iranians will also leave the deal.

Tytti:

- Tytti reports that as a follow-up to the project implemented with the Hiroshima Prefecture, Petr suggested to come up with a project on nuclear verification. Tytti suggests to link this to her work on NWFZ in the Middle East.
- If Tytti receives a visa she will be going to China on a trip organized by the Chinese People's Association for Peace and Disarmament (CPAPD).
- Tytti will then be going to Berlin for a conference on Turkey, Iran and the EU and the regional security in the Middle East.
- She will give a talk on the Nuclear Ban Treaty at Almedalen.

John:

- John was at the OPCW for the open-ended working group on terrorism (see OPCW website for detailed information), participants from UN, EUROPOL, Office for Counter Terrorism, Scotland Yard. 1373 Committee and Executive Council members.
- 3 weeks ago, John was at preparatory meeting of the working groups for the 4th CWC review conference where he provided one of six presentations for Executive Council members.

Sibylle:

Report back from the EU Non-Proliferation and Disarmament Consortium:

- The Consultative meeting will be held on the 4 and 5 of September (the dates still need to be confirmed). FRS is in charge of the meeting.
- The Annual Conference will be held on 18 and 19 December. The Network Meeting preceding the conference will be on 17 December.
- The first Steering Board Meeting will be held on 3 July. It will be the first time under the new contract when the Consortium will formally meet FPI and EEAS. Also, the kick-off meeting on budgetary and administrative issues will be held the same day. The new financial coordinator will also be participating in the meetings (he is formally starting in mid-August).
- Sibylle received the first helpdesk request on writing a paper on the EU Common Position on arms exports, preferably to be written by Mark Bromley (update of the last paper he did). It can take maximum three weeks including editing to deliver a helpdesk.
- There will be 9 ad hoc seminars budgeted over the three years (3 per year). The seminars don't have to be in Europe. FRS is coordinating seminars, but the implementation can be led by another Consortium

member. Possible topics for seminars are MANPADS (responses and threats), SALW and the Security Council embargoes, Ottawa convention, and bio issues. Selected institutes will organize seminars in close collaboration with EEAS.

Report back from SMT:

- Sibylle will go to Washington to attend a conference on the 50<sup>th</sup> anniversary of the NPT, bringing together inter alia original negotiators of the NPT.
- Stockholm Security Conference 2018. Save-the-dates have been sent out to potential participants. Katja will send the formal invitations to the speakers after the Baltic Conference.
- Take-aways from the Institute Strategy discussion at Governing Board meeting were shared: SIPRI's visibility in Sweden; the balance between research and outreach activities. Pieter suggested a cluster discussion on outreach activities, for example, potential collaboration with Utrikespolitiska Institutet (UI). Sibylle proposed to invite UI regional experts to SIPRI.
- Middle East programme. Pieter encourages the cluster to do BBLs and send info to Johan Schaar to inform about the work done by all programmes in the cluster. Alexandra will send around AMEX template and programmes will pull together what they have done and want to do in the future that includes MENA regional elements or expertise.
- There are few recruitment processes in a progress: Senior Researcher for China and Global Security Programme and Deputy Director. Luc has signed the contract – he will start on 1 September.
- The second part of the fundraising workshop will be held when Lena is back.

Date of the next meeting:

13th Aug. 14.00h

28th Aug. 9.30h