

Minute taker: Noel Kelly
Location: The Conference Room
Time: 10-11:30AM.

SIPRI - Armament and disarmament cluster meeting

Solna, 19 May 2017

MINUTES

Participants

Sibylle Bauer, Lora Saalman, Mark Bromley, Vincent Boulanin, Maaike Verbruggen, Vitaly Fedchenko, John Hart, Siemon Wezeman, Pieter Wezeman, Nan Tian, Alexandra Kuimova, Noel Kelly, Kolja Brockmann, Giovanna Maletta, Juan Guillaume, Young Ju Yun, Stephanie Blencker, Lena Kappelin.

Agenda

- Report back on recent and upcoming activities
- Update on ongoing and future funding proposals and decisions
- Report back on SMT
- Dutch MFA Project (Project number 55389): discussion with participants - progress so far.
- Date of next meeting

Report back on recent and upcoming activities of cross-cluster relevance and on ongoing and future funding proposals and decisions (this has blended together)

AMEX

Nan - reported back on a recent meeting with IT consultant – Nic Cottrell who previously had been tasked under Sam Perlo-Freeman to help develop a useable military expenditure database. Currently, the SIPRI milex database exists only on Excel sheets but the goal is to have a working milex database ready for 2017. An internal review of the needs for all our databases is being carried out in-house being led by Maria from SMT and Magda from IT. AMEX is also in contact with Timo who is seeking to fix a

**STOCKHOLM INTERNATIONAL
PEACE RESEARCH INSTITUTE**

Signalistgatan 9
SE-169 70 Solna, Sweden
Telephone: +46 8 655 97 00
Fax: +46 8 655 97 33
Email: sipri@sipri.org
Internet: www.sipri.org

database for peace operations using students from KTH. The goal is to have similar architecture across the various SIPRI database platforms being used.

Noel reported –

The milex questionnaire 2017 has been distributed so the process for the annual collection of data is underway.

Kyunghye Lee –

Kyunghye introduced herself to the cluster. Kyunghye is a research intern working with John Hart since February and will be at SIPRI until end of August - working on the subject of biological and chemical weapons. Specifically looking at the Northeast Asia region, China, Japan and Korea.

Vincent reported -

Vincent and Maaike have finished their report on autonomous weapons and this has been sent to the editors for review. They have started work on their new project - which involves organising a conference that will take place in September looking at legal reviews on emerging technologies. Subjects covered by 3 panels will include:

- Cyber
- AI and robotics
- Human enhancement technologies

They have done some work for the Dutch MFA project with Maaike taking a lead, writing on the subject of UAV and autonomous weapons systems.

Vincent reported on his recent trip to Budapest during May where he had been invited by the Hungarian MFA to speak at a one day conference on IHL and human rights law about autonomous weapons.

Juyoung Lee reported -

Juyoung is an intern working with Vitaly – and currently working on security issues in the Black Sea region right now.

Lora reported -

Lora reported -

Within the China Programme they are working on getting funding through Japan MFA and a proposal has been sent up to SMT. They are interested in Japan, Europe and US building security in cyber space.

For FAS, Lora has a conference call today to try and get funding for the next leg of the Silk Road project.

Lora, together with Vitaly, Jakob, Stephanie and Sibylle, had meeting this week with David Smith from IAEA and will be drafting up a piece on cyber and nuclear facilities - a potential concept note in the future. Not sure if IAEA will fund but they are interested in the topic.

Lora is working on an Asia panel for the Secure Cities Conference. The proposed topic will be on countering violent extremism and urban violence in Asia.

Lora is setting up a panel for Tsinghua University meeting in June, looking at changing views of deterrence, which is China, Russia and USA and covers cyber, nuclear and conventional weaponry and the impact on deterrence.

Mark reported -

End of April – Mark was at a conference in Copenhagen organised by C5 speaking about export controls post-Brexit, post-Trump.

Together with Maaike, a paper was finalised for the EEAS on the revision of the EU SALW strategy. This has been sent out to all the member states. In mid-June, there will be a consultative meeting of the consortium that Mark will attend and present the paper.

For the Secure Cities Conference – Mark is working to put together a panel with UNLIREC looking at urban violence in Latin America.

Mark on funding developments -

Hopeful of getting funding from Swedish MFA for continuing the work on ATT assistance manual database – will know in the next few weeks. Also talking with the Geneva mission and Australian government for funding to further expand the ATT database.

Non-related to funding -

Mark has worked with Mathieu Duchâtel from the European Council on Foreign Relations. They co-authored a paper on arms exports from Europe to Asia. This was published a few days ago.

Mark reported on the SIPRI workshop concerning arms export controls. There were 25 participants with a mixture of industry representatives and research/academia. This is all part of a US government project being worked on since before Christmas.

Sibylle added to the workshop report expanding on the various panels. There was a very broad range of different sectors invited. From ICT they had Symantec and Nokia and from defence aerospace they had Romarm (Romania) and Arsenal (Bulgaria). On the research side, they had representatives the Robert Koch institute and the Fraunhofer Group. From the transport sector they had representatives from FIATA based in Geneva and TNT.

Pieter reported -

Further added to the AMEX report mentioning that we are still waiting for clarification from Clingendael with regards what they want SIPRI to work on. Pieter will also be working soon on the Dutch MFA literature review. The visualization project led by Kate B is finished and we are waiting for translated versions to put up on-line.

Maaike reported –

Adding to Vincent's report earlier. They are developing the proposal for the Secure Cities Conference. Right now thinking about topics, possibly the use

of artificial intelligence for counter terrorism purposes. Some Secure Cities conference issues were clarified and right now an order of preference for topics is required and contact names.

Young Ju Yun reported-

Young an intern with AMEX reported with Nan again on a UD project work underway regarding transparency in sub-Saharan Africa. Nan mentioned a first draft would be ready by end of September.

Giovanna Maletta reported –

Giovanna like Mark will attend an event with the C5 but for southern Europe. Giovanna will attend a panel looking at over coming challenges associated with technology transfers and dual use goods in the EU.

Siemon reported -

Reported on UD project work being carried out. Tasks and rough estimate for schedule: tracking arms flows to Southeast Asia - output: policy paper. First draft aiming for October 1st. Deadline - A final draft to the editors by 31st October. The Black Sea project is on going but has been reduced a bit in scope. No new tender calls by the EDA yet for this year.

John Hart reported -

CBW activity

NL MFA contribution submitted.

K. Lee intern thesis is on version 6.

Joint article with K. Lee on Malaysia VX incident published April.

CWC Review Conference publication completed.

Idlib attack publication completed.

JIM update publication completed.

Autonomous platform technology in OPCW research (in progress with outputs)

Forensic pathology of sarin exposure incidents (in progress)

Fundraising based on consultancy contract template (nothing confirmed in writing)

Funding: Nothing in writing

Alexandra reported -

Adding to Siemon's report earlier on the Black Sea, busy collecting data and it was mentioned they are creating a unique database looking at the militarization of the Black Sea region as part of the UD project.

Sibylle reported -

Spoke at bi-annual export control event last week by SAAB to more than 90 compliance officers from around the world and also spoke with senior management. Paul Beijer was speaking on the ATT at this event as well.

Pieter reported on a meeting with Hans C. Atzpodien, who has been nominated to become Managing Director of the German Defence Industry Association (Bundesverb. der dt. Sicherheits- und Verteidigungsindustrie - BDSV) starting August 1st. He explained it would be interesting if SIPRI could do a study for the German defence industry association basically mapping where German exported weapons have been used in the past decade. SIPRI needs to make a formal response to the request (in this case either Sibylle or Aude will respond).

There followed a general discussion on the issue of funding ethics at SIPRI. The point was made that SIPRI still need to provide researchers with guidance material on from whom and in what circumstances we can take funding. Sibylle agreed to take this issue up with the SMT but suggested it should be discussed among the clusters as well. A point was made that it is not just a question of the guidelines but the decision making process as well. Sibylle reminded the cluster of the current process referring to the earlier guideline e-mail from Dan. In the new structure, no funding, even a funding note, can go out to funders without having cleared the internal structure. This means for A&D it should go first to Sibylle and if a principle is involved it is brought to the SMT.

The Hiroshima visit – as we now have a MoU with the Governor of Hiroshima there may be interesting work on nuclear disarmament issues and arms control coming out of that. There is no funding involved but there is

the basis for collaboration and more work with them, especially with a focus on nuclear issues.

On the SIPRI Peace and Development Forum – not much to report from the A&D cluster

IAEA – signed a practical arrangements document with SIPRI as a specialised nuclear research institute. Organised via Vitaly's research initially with people he collaborated with on forensics.

Things coming up:

We were asked to organise a side event at the arms trade treaty prep-com on June 1st. Pieter Wezeman will present the arms trade data.

NGO-COARM meeting in mid-June and Sibylle will attend and report back from that.

The Secretary-General of the parliamentary forum on SALW would like to come to SIPRI for a visit. Visit suggested in June.

Report back on SMT

The cluster was asked if they looked at the minutes on the intranet and reminded they are there to be seen. The state of play with regards job descriptions in the SMT have been through all by function – big differences found. The SMT are trying to harmonise them but this will take time and they will be streamlined.

The mid-term reviews should all take place in May. Deadline is 9th June for documents to be sent to Helena Berger after all meetings are held during May.

On the subject of the next Yearbook, it was suggested we would try to make it shorter and make it more condensed and focused on the core work.

AOB

Question on the research strategy – has the strategy document been shared with the cluster? The draft was shared and discussed and this will be presented to the board. The 2-page document given to Dan for feedback will be shared again with the cluster after presentation to the GB.

When will the process end? As soon as is possible.

The question of clarity for people – on the requirements to move from one to position to another was asked. This is coming and something before the summer is hoped for.

Date of next A&D cluster meeting:

21st June – 10:00AM – 11:30PM